

# Funny Factorials and Slick Sums

Spring 2017 ARML Power Contest

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WARNING! In several of the problems, you may be tempted to use an ellipsis (“...”) to shorten arguments or to indicate that a pattern continues. DO NOT do this. All proofs must be complete. Ellipsis probably means you need to use induction or recursion.

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Let  $f(x)$  be any function whose domain is the set  $\mathbf{R}$  of real numbers. Create a new function  $\Delta f(x)$  which is defined to be  $\Delta f(x) = f(x+1) - f(x)$ . So if, for instance,  $f(x) = x^2$  then  $\Delta f(x) = (x+1)^2 - x^2 = 2x + 1$ . We will treat  $\Delta$  as an operation that takes in functions and gives out functions—a kind of superfunction whose domain and range are regular functions.

**Problem 1.** For each function  $f(x)$  below, compute and simplify  $\Delta f(x)$ .

- [1] (a)  $f(x) = c$ , where  $c$  is constant.
- [1] (b)  $f(x) = ax + b$ , a linear function.
- [1] (c)  $f(x) = x^3$ .
- [1] (d)  $f(x) = 2^x$ .

As part (c) of this problem demonstrated,  $\Delta$  doesn't play particularly nicely with powers of  $x$ . But there is a variation of factorials that  $\Delta$  does treat nicely. For any positive integer  $n$ , define  $x^n$  by the formula  $x^n = x(x-1)(x-2)\cdots(x-n+1)$ . It's kind of a cross between the  $n$ th power and a factorial. The underline is meant to remind you that the things you are multiplying together keep decreasing. You can pronounce this as *x to the nth falling* or *the nth falling factorial of x*. It will be convenient to define  $x^0 = 1$ . There is a similar *rising factorial*  $x^{\bar{n}} = x(x+1)(x+2)\cdots(x+n-1)$ .

**Problem 2.** Expand and simplify each of the following.

- [1] (a)  $x^{\underline{3}}$ .
- [1] (b)  $9^{\underline{4}}$ .
- [2] (c)  $\Delta x^{\underline{2}}$ .

[2] **Problem 3.** In keeping with the warning at the beginning of the problem, write a proper recursive definition for  $x^{\underline{n}}$  for all nonnegative integers  $n$ .

We can make a few observations about falling and rising factorials. For instance, if  $n$  is a nonnegative integer, then  $n^{\underline{n}} = 1^{\overline{n}} = n!$ , while if  $m > n$  are both nonnegative integers then  $n^{\underline{m}} = 0$ . Also,  $x^{\underline{n}} = (-1)^n (-x)^{\overline{n}}$  where the exponent on the  $(-1)$  is a regular power. While all these appear more-or-less obvious, if you want to use one of these facts in your solutions, you will—for completeness—need to prove it as a lemma.

We will treat falling and rising factorials like regular exponents with regard to order of operations. So, for instance,  $(-5)^{\underline{3}} = (-5)(-6)(-7)$  while  $-5^{\underline{3}} = -(5^{\underline{3}}) = -(5 \cdot 4 \cdot 3)$ .

[2] **Problem 4.** Prove the factorial law of exponents:  $x^{\underline{m+n}} = x^{\underline{m}} \cdot (x-m)^{\underline{n}}$  for all nonnegative integers  $m$  and  $n$ .

[2] **Problem 5.** Prove the symmetry law  $(-x)^{\underline{n}} = (-1)^n (x+n-1)^{\underline{n}}$  for all nonnegative integers  $n$ . Note that the exponent on the  $(-1)$  is a regular exponent, not a factorial exponent!

You might have noticed that  $\Delta x^{\underline{2}}$  from problem 2(c) was less messy than  $\Delta x^2$  or  $\Delta x^3$  that we calculated earlier. Falling factorials were created to make nice formulas with  $\Delta$ .

[2] **Problem 6.** Prove that for any nonnegative integer  $n$ ,  $\Delta x^{\underline{n}} = nx^{\underline{n-1}}$ .

We can define falling (and rising) factorials with *negative* exponents in a manner similar to the definition of negative powers. Note that to get from  $x^{\underline{3}}$  to  $x^{\underline{2}}$  we divide by  $(x-2)$ . Then we would have to divide by  $(x-1)$  to drop the exponent to  $x^{\underline{1}}$ . And we divide again by  $x = (x-0)$  to lower the exponent to  $x^{\underline{0}}$ . It seems that we should now divide by  $(x+1)$  to get to  $x^{\underline{-1}}$ . That is, it looks like we should define

$$\begin{aligned} x^{\underline{-1}} &= \frac{1}{x+1}, \\ x^{\underline{-2}} &= \frac{1}{(x+1)(x+2)}, \\ x^{\underline{-3}} &= \frac{1}{(x+1)(x+2)(x+3)}, \end{aligned}$$

and so forth.

[1] **Problem 7.** If  $n$  is a positive integer, provide a recursive definition for  $x^{\underline{-n}}$ .

**Problem 8.**

[2] (a) If  $n$  is a positive integer, prove  $x^{\underline{-n}} = \frac{1}{(x+n)^{\underline{n}}}$ .

[2] (b) Prove that the law of exponents as in problem 4 continues to hold for arbitrary integers  $m$  and  $n$ .

[2] (c) Prove that the  $\Delta$ -rule from problem 6 continues to hold for negative integers.

Let  $n$  be a positive integer and  $f(x)$  a function. Define an operation  $S_n$  by  $S_n f(x) = f(0) + f(1) + \cdots + f(n-1)$ . Be careful to note that there are exactly  $n$  terms in this sum, running from 0 to  $n-1$ . For example, if  $f(x) = 2^x$  then  $S_5 f(x) = 2^0 + 2^1 + 2^2 + 2^3 + 2^4 = 31$ .

[2] **Problem 9.** Define  $S_n f(x)$  recursively.

[1] **Problem 10.** Compute  $S_{1000} x$ .

[2] **Problem 11.** Prove, for any  $n$  and any function  $f(x)$ , that  $S_n(\Delta f(x)) = f(n) - f(0)$ .

You may assume—it is not difficult to prove—that both  $\Delta$  and  $S_n$ , for any  $n$ , are *linear*. That is, given functions  $f(x)$  and  $g(x)$  and constants  $a$  and  $b$ , that  $\Delta(af(x) + bg(x)) = a\Delta f(x) + b\Delta g(x)$  and  $S_n(af(x) + bg(x)) = aS_n f(x) + bS_n g(x)$ .

[2] **Problem 12.** Prove that  $S_n x^k = \frac{n^{k+1} - 0^{k+1}}{k+1}$  where  $k$  is any integer other than  $-1$ .

[2] **Problem 13.** Compute the values of constants  $a, b$ , and  $c$  so that  $x^3 = x^{\underline{3}} + ax^{\underline{2}} + bx^{\underline{1}} + cx^{\underline{0}}$ .

[2] **Problem 14.** Use the result of the previous problem to compute  $0^3 + 1^3 + 2^3 + \cdots + (n-1)^3$  in terms of falling factorials.

[1] **Problem 15.** Expand the terms of your solution to the previous problem to express  $0^3 + 1^3 + \cdots + (n-1)^3$  as a polynomial in  $n$ .

It is similarly possible to easily obtain expressions for the sums of squares, fourth powers, or any other (positive integer) powers of  $x$ , as long as there is a convenient way to convert back and forth between polynomials and falling factorials. To do that efficiently, you need *Stirling numbers*, which might be a topic for a future ARML Power Contest.

[2] **Problem 16.** Note that  $\Delta a^x = a^{(x+1)} - a^x = (a-1)a^x$ . Use this to obtain a formula for the sum of a finite geometric series  $1 + a + a^2 + a^3 + \cdots + a^{n-1}$ .

[3] **Problem 17.** Prove that the falling factorials satisfy the binomial theorem: for nonnegative integers,  $n$ ,  $(x+y)^{\underline{n}} = \sum_{k=0}^n \binom{n}{k} x^{\underline{k}} y^{\underline{n-k}}$ .